



THE 2021 **INTERNAL AUDIT CONFERENCE**

2-3 NOVEMBER

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WELCOME TO THE 2021 INTERNAL AUDIT CONFERENCE

**WE ARE THRILLED TO PRESENT OUR ANNUAL
FLAGSHIP INTERNAL AUDIT CONFERENCE FOR
THE UK AND IRELAND.**

It has never been more important to keep up with the rapidly changing risk environment – so we've invited globally recognised experts and experienced internal audit leaders to discuss critical issues around cyber security, behavioural risk, business regulations, supply chains, innovation, resilience, sustainability, and much more. This is your chance to ask difficult questions and share the challenges you face with your peers and chief internal auditors from all sectors. We hope you enjoy the conference.

DAY 1:

8:00 - 9:00: Registration

9:00 - 9:05: Welcome and introductions

John Wood, CEO, Chartered Institute of Internal Auditors

9:05 - 9:15: Chair's welcome

Richard Chambers - Former CEO and President, IIA Global

DAY 2:

8:00 - 9:00: Registration

8:15 - 8:45: Women in internal audit (WIIA) Networking event

Hosted by WIIA Committee with guest speaker Marie Lauri, Global Head of Records Management & Data Disposal / Managing Director, Chief Data Office, Deutsche Bank

9:00 - 9:05: Welcome and introductions

Richard Chambers - Former CEO and President, IIA Global

9:05 - 9:15: President's address

Peter Elam, President, Chartered Institute of Internal Auditors



KEYNOTE SESSIONS

DAY 1



01 9:15 - 9:50

BUSINESS PRIORITIES FOR THE NEXT TWO YEARS – GLOBAL ECONOMIC RECOVERY, CLIMATE CHANGE AND AUDIT REGULATION

This presentation will look at audit reform, the corporate responsibility agenda and the current challenges facing the world and the UK economy. Hear a minister's views on economic recovery following the pandemic, including insights on the business risks and opportunities coming down the track, particularly in a post-Covid and post-Brexit world, as the Government looks to realise its vision of a 'Global Britain'.

Lord Callanan,

Parliamentary Under Secretary of State at the Department for Business, Energy and Industrial Strategy



03 15:40 - 16:20

PROTECTING THE NATION'S CRITICAL INTERESTS – MANAGING THE RISK OF SIGNIFICANT CYBER ATTACK

Ciaran Martin will provide an update on the latest threats facing organisations and an overview of these significant attacks in recent months. He will also cover personal insights he gained while being CEO of the NCSC. He will highlight the role played by organised crime and nation states, the current cyber landscape and expose the risks by the existence of the dark web the current states of ransomware attacks. He will also provide valuable guidance on what all organisations should be doing now to meet the cyber security challenges we all face.

Ciaran Martin

Founding CEO of the National Cyber Security Centre and now Professor of Practice, Blavatnik School of Government, University of Oxford

KEYNOTE PANEL SESSIONS

02 13:30 - 14:20

IS INTEGRITY AN ESSENTIAL PART OF SOUND GOVERNANCE AND HOW CAN INTERNAL AUDIT HELP?

In ethics, integrity is regarded as the honesty and truthfulness or accuracy of one's actions and the impact on others. In recent times there have been lots of examples and questions of the level of integrity in organisation and whether these are being met. The main aim of the session is to look at why businesses are not taking the importance of integrity and morals as seriously as they should and explore to what extent internal audit should be looking at this.

CHAIR:

Richard Chambers

Former CEO and President IIA Global

PANELLISTS

Frances Hawkins

Managing Director, Internal Audit
Goldman Sachs

Philippa Foster Back CBE,

Non-Executive Director

David Hill

CEO, SWAP Internal Audit Services



KEYNOTE SESSIONS

DAY 2



01 9:15 - 9:55

DRIVING BUSINESS SUCCESS

- Reflections on the current changes facing businesses
- The varying definitions of 'business success'
- The unique position of Internal Audit to influence and help business succeed
- How to ensure we have a seat at the table and are a credible voice

Lindsay O'Reilly

Group Chief Internal Auditor, Barclays



02 11:20 - 12:10

THE SIGNS WERE THERE

Tim will provide a timely update of the importance of looking at financial accounts and annual reports. He will reveal how a company that is failing can be identified early by looking purely at published records. His presentation will cover:

- Why internal auditors should have a good understanding and awareness of these financial issues
- The critical information provided by published accounts and annual reports
- How early warning signs can be diagnosed
- How obvious financial problems are sometimes ignored within organisations

Tim Steer

Former fund manager, investment analyst and Author of "The signs were there"



03 15:45 - 16:20

DISRUPTION, INNOVATION AND FUTURE SCENARIOS FOR INTERNAL AUDIT

Following two days of insightful, practical and thought-provoking presentations Richard will:

- Reflect on the insights drawn from the conference sessions
- Share his thoughts around the future of the profession
- Lay out alternative paths for internal audit
- Challenge the profession to pursue the path that presents the greatest opportunity for success

Richard Chambers

Former CEO and President, IIA Global

BREAKOUT SESSIONS

DAY 1

10:00 - 10:50



01

DIGITAL AND CYBER

The Fourth Industrial Revolution – opportunities provided by digital, AI and robotic technology

- ◆ What is the fourth Industrial revolution?
- ◆ What will be the impact on all businesses?
- ◆ How can we all benefit from the opportunities that will arise

Andrew Miller

Cyber Security Partner, PwC

02

ENVIRONMENTAL, SOCIAL AND GOVERNANCE

The role of the board in developing the ESG corporate strategy

- ◆ Why is managing ESG risk so important for your business
- ◆ The critical importance of board ownership and direction
- ◆ Developing ESG standards and frameworks to support the organisations overall strategy and objectives
- ◆ The reputational impact of getting ESG right (case study)

Nathan Birtle

SVP, EMEA Sales, Diligent Corporation

03

INNOVATIVE AUDITING

Auditing behaviour and culture – a new approach

- ◆ Why focusing on behaviour is so important when auditing culture?
- ◆ A practical guide to auditing behaviour risk
- ◆ Real life examples from organisations using these techniques

Dr Wieke Scholten

Senior director behavioural risk, PhD, bij & samhoud

BREAKOUT SESSIONS

DAY 1

10:00 - 10:50



04

PUBLIC SECTOR SPOTLIGHT

Opportunities to manage public finances post pandemic

- ◆ How has the pandemic affected the public finances in the UK and Ireland?
- ◆ What plans are currently in place to remedy the current position
- ◆ What is it that we still need to do?

Joanne Pitt

Local Government Policy Manager, CIPFA

05

BUSINESS RESILIENCE

Keeping your business financially stable

- ◆ Financial challenges facing your business
- ◆ Recovering from the impact of Covid/Brexit
- ◆ Opportunities for future financial growth

Henry Shinners

Partner, Head of Restructuring & Recovery Services, Smith & Williamson

BREAK
10:50 - 11:20



BREAKOUT SESSIONS

DAY 1

11:20 - 12:10



01

DIGITAL AND CYBER

Managing the security of data and intellectual property in the cloud

- ◆ The risks organisations face using cloud technology
- ◆ Focusing on the protection of your data and intellectual property
- ◆ How technology solutions could minimise your exposure

Mark Hughes

President Security, DXC Technology

02

ENVIRONMENTAL, SOCIAL AND GOVERNANCE

- Making a social impact – how organisations are addressing the issues of human rights, modern slavery and doing business ethically
- ◆ Human Rights – these apply to every single one of us
 - ◆ Ending scourge of modern slavery
 - ◆ All businesses should always behave ethically

Presenter TBC

03

INNOVATIVE AUDITING

Change and innovation in a crisis – how business and internal audit responded (case study)

- ◆ How the business responded
- ◆ Maintaining audit independence while supporting the business
- ◆ The impact on the business from using our skills

Rohan McMillan

Head of Risk And Assurance, British Heart Foundation

Sarah Danes

Head of Wellbeing, Safety and Diversity, British Heart Foundation

BREAKOUT SESSIONS

DAY 1

11:20 - 12:10



04

PUBLIC SECTOR SPOTLIGHT

Identifying and controlling fraud, bribery and corruption risk

- ◆ The scale and impact of fraud, bribery and corruption in the public sector
- ◆ Current threats
- ◆ Current prevention techniques being used

Joshua Reddaway

Director, Fraud and Error Value for Money Audit, National Audit Office (NAO)

05

BUSINESS RESILIENCE

Are your supply chains truly resilient?

- ◆ How have your supply chains been stretched over the past 18 months?
- ◆ Identifying the key impact on your business
- ◆ Securing your weakest links in the supply chain

Nick Eggett

Head of Supply Chain and Supplier Risk, Risk Advisory Services BDO UK LLP

LUNCH
12:10 - 13:30



BREAKOUT SESSIONS

DAY 1

14:25 - 15:15



01

DIGITAL AND CYBER

Protecting your organisation from internal and external attacks

- ◆ Current threats facing organisations
- ◆ How the insider can avoid detection
- ◆ Protecting your employees from an external attack

Ken Munroe

Managing Director, Pen Test Partners

02

ENVIRONMENTAL, SOCIAL AND GOVERNANCE

- What does good governance look like and what are the warning signs that it may be failing?
- ◆ Top tips for good governance
 - ◆ How has governance improved in UK organisation in the past few years
 - ◆ How can you identify if governance is starting to break down

Victoria Penrice FGC

President, Chartered Governance Institute UK and Ireland

03

INNOVATIVE AUDITING

Enhancing internal audit performance and success through digital transformation

- ◆ How to take a more strategic, advisory role
- ◆ Assessing risks with greater speed and ease
- ◆ Automating and accelerating the audit process

Michael Heckner

Senior Director,
Center of Excellence, SAP

BREAKOUT SESSIONS

DAY 1

14:25 - 15:15



04

PUBLIC SECTOR SPOTLIGHT

How digital and AI technology is transforming the public sector

- ◆ How digital and AI is currently being used in the public sector
- ◆ Success stories to date
- ◆ New invites being planned

Iain McGregor

Director Innovation and Development,
GIAA

05

BUSINESS RESILIENCE

Strategic workforce planning – building resilience using hybrid teams

- ◆ Remote working – a right or a choice
- ◆ Effective management of hybrid teams
- ◆ Maintaining the health and wellbeing of your organisation

Barbara Jeffrey

Partner, McKinsey & Company

BREAK
15:15 - 15:40

16:20 - 16:30: **Chairman's closing remarks**

Richard Chambers, Former CEO and President, IIA Global

BREAKOUT SESSIONS

DAY 2

10:00 - 10:50



01

FINANCIAL SERVICES HOT TOPICS

Strategic risks facing the FS sector in the UK and Europe in the next 5 years

- ◆ What does the future hold for the FS sector in the UK and Europe?
- ◆ What are the key strategic issues that are likely to impact success?
- ◆ What are the key risks that will be concentrating our minds?

Chris Salisbury

Director, KPMG

02

ENVIRONMENTAL, SOCIAL AND GOVERNANCE

- Protecting the planet – a practical approach from the retail sector
- ◆ How can we realistically protect our plant by managing climate risks?
- ◆ What are the issues that all businesses should be considering now?
- ◆ How is the retail sector building an action plan?

Presenter – BSI

03

PRACTICAL AUDITING

How to effectively leverage combined assurance to meet organisational objectives

- ◆ How does it help meet organisational objectives?
- ◆ How can it increase the coordination of assurance providers, resulting in better planning, and resource allocation?
- ◆ Who are the key stakeholders, who requires assurance and how is this delivered to each stakeholder (method and timing)

Tom Ryan

Manager, Solutions Consulting, Galvanize

Thought Leader Sponsor:
Galvanize A Diligent Brand

BREAKOUT SESSIONS

DAY 2

10:00 - 10:50



04

SKILLS DEVELOPMENT

Critical thinking and analysing information – sharpening our skills

- ◆ How to be a thinking auditor
- ◆ Actively analysing, applying and evaluating information
- ◆ The importance of making well-reasoned conclusions and recommendations
- ◆ Understanding the ‘big picture’

James Paterson

Director, RiskAI

05

DATA ANALYTICS AND AUDIT TECHNOLOGIES

Overcoming the challenges of using data analytics

- ◆ Issues faced by internal audit
- ◆ Developing a strategy and plan
- ◆ Practical success stories

Peter Jones

Head of Data: Internal Audit,
Legal & General

BREAK
10:50 - 11:20



BREAKOUT SESSIONS

DAY 2

12:15 - 13:05



01

FINANCIAL SERVICES HOT TOPICS

Managing risk in an accelerating technologically driven world

- ◆ Using technology to change the face of FS
- ◆ Innovative techniques to enhance customer experience
- ◆ Experiences and successes from a leading FS organisation

Carolyn Fiddes

Experienced Audit, Risk & Transformation Director

02

ENVIRONMENTAL, SOCIAL AND GOVERNANCE

Developing a corporate social conscience – focusing on diversity, inclusion and ethical behaviour

- ◆ Why diversity and inclusion really matter today
- ◆ How organisations should always be doing the “right thing”
- ◆ How organisations can build realistic strategies and plans.

Sylvia Ashley

Head of People and Culture Financial Services, BRS Grant Thornton UK LLP

03

PRACTICAL AUDITING

How to audit data management and UK GDPR – a strategic overview

- ◆ What does data management encompass?
- ◆ How does UK GDPR differ from GDPR?
- ◆ What are the key areas that internal audit should be focusing on?

Peter Sheppard

Deputy Director, ICT Audit and Cyber Assurance, TIAA

BREAKOUT SESSIONS

DAY 2

12:15 - 13:05



04

AGILE INTERNAL AUDITING: A JOURNEY TO IMPROVED PERFORMANCE AND DELIVERED SUCCESS

How agile auditing has improved our performance and delivered success

- Learn how to audit with agility
- Discover why agile auditing is critical to a business
- Explore best practices and keys to success
- Learn how to develop and enhance agile auditing skills

Joseph Yeon

Director of Solution Advisory Services,
AuditBoard

Thought Leader Sponsor:
AuditBoard

05

DATA ANALYTICS AND AUDIT TECHNOLOGIES

Continuous auditing – keeping the finger on the pulse

- What is continuous auditing?
- How does continuous auditing work in practice
- Inherent risks and control environment indicators and exceptions
- Opportunities and possible pitfalls

Joseph Morgan

Director, Audit Practices and Data Analytics, Barclays Internal Audit

LUNCH
13:05 - 14:25

BREAKOUT SESSIONS

DAY 2

14:25 - 15:15



01

FINANCIAL SERVICES HOT TOPICS

Fighting the AML battle – what should the third line be doing?

- ◆ Anti-money laundering – what are the key issues that businesses are facing?
- ◆ What is the key focus of your AML team?
- ◆ The internal audit action plan

Bisi Giwa

Director, Kharis Knoble

02

ENVIRONMENTAL, SOCIAL AND GOVERNANCE

Auditing governance – asking the difficult questions

- ◆ Where should governance appear on your audit plan?
- ◆ What are the key topics we should be looking at?
- ◆ How can we tackle asking the most difficult questions?

Liz Sandwith

Chief Professional Practices Advisor, Chartered Institute of Internal Auditors

03

PRACTICAL AUDITING

Is fraud, bribery and corruption really on the internal audit radar?

- ◆ What does a fraud bribery and corruption framework look like?
- ◆ What are the key issues that should be included?
- ◆ What should the audit programme look like?

Matthew Weitz

Associate Managing Director, Financial Investigations and Intelligence, Kroll | Duff & Phelps

Justine Radnedge

Manager Business Intelligence and Investigations, Kroll | Duff & Phelps

BREAKOUT SESSIONS

DAY 2

14:25 - 15:15



04

SKILLS DEVELOPMENT

Effective influencing – a key skill for all internal auditors

- ◆ What are the four types of influencing?
- ◆ How do we use our influencing skills during our work?
- ◆ Defining the benefits of influencing
- ◆ Five techniques to build credibility

Robert Berry

President, Trainer, Consultant,
That Audit Guy

05

DATA ANALYTICS AND AUDIT TECHNOLOGIES

- Horizon scanning – future developments in audit technology
- ◆ How has audit technology empowered audit teams?
- ◆ Making your audits shorter, faster and more risk focused
- ◆ What does the future technology look like?

Michael Bennet

Internal Audit Manager

Iago Arcas

Internal Auditor, International Airlines Group (IAG)

Thought Leader Sponsor: CaseWare

BREAK
15:15 - 15:45

16:20 - 16:30: **Chairman's closing remarks**
Richard Chambers, Former CEO and President, IIA Global

16:30 - 17:30: **Networking drinks reception**
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